

# Topological properties of regular generalized function algebras

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*2000 Mathematics subject classification: 46F30.*

## Abstract

We investigate density of various subalgebras of regular generalized functions in the special Colombeau algebra  $\mathcal{G}(\Omega)$  of generalized functions.

## 1 Introduction

M. Oberguggenberger introduced the algebra  $\mathcal{G}^\infty(\Omega)$  of regular generalized functions in order to develop a hypoelliptic regularity theory and hyperbolic propagation of singularities in the algebra  $\mathcal{G}(\Omega)$  of Colombeau generalized functions [13], where it takes over the role of the subalgebra of  $\mathcal{C}^\infty$ -regular functions in the space  $\mathcal{D}'(\Omega)$  of distributions. It thus became the starting point of investigations of microlocal regularity in generalized function algebras (see [5, 7, 9, 10, 12, 16] and the references therein). More recently, various other subalgebras of regular generalized functions have been considered, from the point of view of generalized analytic functions [1], kernel theorems [3], propagation of singularities [14] and microlocal analysis [4]. We show that, in contrast with the situation of  $\mathcal{C}^\infty(\Omega)$  as a subalgebra of  $\mathcal{D}'(\Omega)$  (and therefore maybe surprisingly), the subalgebra  $\mathcal{G}^\infty(\Omega)$  and the subalgebras  $\mathcal{G}_{\mathcal{L}_a}(\Omega)$  considered in [3, 4] are not dense in the algebra  $\mathcal{G}(\Omega)$ . On the other hand, the subalgebra of sublinear or S-analytic generalized functions is dense in  $\mathcal{G}(\Omega)$ .

## 2 Notations

Let  $\Omega \subseteq \mathbb{R}^d$  be open. By  $K \subset\subset \Omega$ , we denote a compact subset of  $\Omega$ .

For  $u \in \mathcal{C}^\infty(\Omega)$ ,  $K \subset\subset \Omega$  and  $\alpha \in \mathbb{N}^d$ , let  $p_{\alpha,K}(u) := \sup_{x \in K} |\partial^\alpha u(x)|$ . For  $k \in \mathbb{N}$ , let  $p_{k,K}(u) := \max_{|\alpha|=k} p_{\alpha,K}(u)$ .

The special algebra of Colombeau generalized functions (see e.g. [8]) is  $\mathcal{G}(\Omega) := \mathcal{E}_M(\Omega)/\mathcal{N}(\Omega)$ , where

$$\begin{aligned} \mathcal{E}_M(\Omega) &= \left\{ (u_\varepsilon)_\varepsilon \in \mathcal{C}^\infty(\Omega)^{(0,1)} : (\forall K \subset\subset \Omega)(\forall \alpha \in \mathbb{N}^d)(\exists N \in \mathbb{N}) \right. \\ &\quad \left. (p_{\alpha,K}(u_\varepsilon) \leq \varepsilon^{-N}, \text{ for small } \varepsilon) \right\} \\ \mathcal{N}(\Omega) &= \left\{ (u_\varepsilon)_\varepsilon \in \mathcal{C}^\infty(\Omega)^{(0,1)} : (\forall K \subset\subset \Omega)(\forall \alpha \in \mathbb{N}^d)(\forall m \in \mathbb{N}) \right. \\ &\quad \left. (p_{\alpha,K}(u_\varepsilon) \leq \varepsilon^m, \text{ for small } \varepsilon) \right\}. \end{aligned}$$

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By  $[(u_\varepsilon)_\varepsilon]$ , we denote the generalized function with representative  $(u_\varepsilon)_\varepsilon \in \mathcal{E}_M(\Omega)$ . The subalgebra  $\mathcal{G}_c(\Omega)$  of compactly supported generalized functions consists of those  $u \in \mathcal{G}(\Omega)$  such that for some  $K \subset\subset \Omega$ , the restriction of  $u$  to  $\Omega \setminus K$  equals 0 (as an element of  $\mathcal{G}(\Omega \setminus K)$ ).

For  $K \subset\subset \Omega$ , the algebra  $\mathcal{G}^\infty(K)$  consists of those  $u \in \mathcal{G}(\Omega)$  such that for one (and hence for each) representative  $(u_\varepsilon)_\varepsilon$ ,

$$(\exists N \in \mathbb{N})(\forall \alpha \in \mathbb{N}^d)(p_{\alpha,K}(u_\varepsilon) \leq \varepsilon^{-N}, \text{ for small } \varepsilon).$$

For  $(z_\varepsilon)_\varepsilon \in \mathbb{C}^{(0,1)}$ , the valuation  $v(z_\varepsilon) := \sup\{b \in \mathbb{R} : |z_\varepsilon| \leq \varepsilon^b, \text{ for small } \varepsilon\}$  and the so-called sharp norm  $|z_\varepsilon|_e := e^{-v(z_\varepsilon)}$ . For  $u \in \mathcal{G}(\Omega)$ ,  $P_{\alpha,K}(u) := |p_{\alpha,K}(u_\varepsilon)|_e$  ( $\alpha \in \mathbb{N}^d$ ) and  $P_{k,K}(u) := |p_{k,K}(u_\varepsilon)|_e$  ( $k \in \mathbb{N}$ ), independent of the representative  $(u_\varepsilon)_\varepsilon$  of  $u$ . The ultra-pseudo-seminorms  $P_{\alpha,K}$  ( $\alpha \in \mathbb{N}^d$ ,  $K \subset\subset \Omega$ ) determine a topology on  $\mathcal{G}(\Omega)$  called sharp topology [2, 6, 16]. Then  $u \in \mathcal{G}^\infty(K)$  iff  $\sup_{k \in \mathbb{N}} P_{k,K}(u) < +\infty$ . Further, the algebra  $\mathcal{G}^\infty(\Omega) := \bigcap_{K \subset\subset \Omega} \mathcal{G}^\infty(K)$  [13].

For  $K \subset\subset \Omega$ , the algebra  $\mathcal{G}_{\mathcal{L}_a}(K)$  of generalized functions of sublinear growth with slope smaller than  $a > 0$  ( $a \in \mathbb{R}$ ) on  $K$  consists of those  $u \in \mathcal{G}(\Omega)$  such that for one (and hence for each) representative  $(u_\varepsilon)_\varepsilon$ ,

$$(\exists a' < a)(\exists b \in \mathbb{R})(\forall \alpha \in \mathbb{N}^d)(p_{\alpha,K}(u_\varepsilon) \leq \varepsilon^{-a'|\alpha|-b}, \text{ for small } \varepsilon)$$

or, equivalently,

$$(\exists a' < a)(\exists c \in \mathbb{R})(P_{\alpha,K}(u) \leq ce^{a'|\alpha|}, \forall \alpha \in \mathbb{N}^d),$$

which can still be expressed concisely by  $\limsup_{k \rightarrow \infty} \frac{\ln P_{k,K}(u)}{k} < a$ . Since  $P_{\alpha,K}(uv) \leq \max_{\beta \leq \alpha} (P_{\beta,K}(u)P_{\alpha-\beta,K}(v))$  by Leibniz's rule,  $\mathcal{G}_{\mathcal{L}_a}(K)$  are subalgebras of  $\mathcal{G}(\Omega)$ .

For  $a = 0$ ,  $\mathcal{G}_{\mathcal{L}_0}(K) := \bigcap_{a > 0} \mathcal{G}_{\mathcal{L}_a}(K)$ . Clearly,  $\mathcal{G}^\infty(K) \subseteq \mathcal{G}_{\mathcal{L}_0}(K)$ .

Again, the algebras  $\mathcal{G}_{\mathcal{L}_a}(\Omega) := \bigcap_{K \subset\subset \Omega} \mathcal{G}_{\mathcal{L}_a}(K)$  ( $a \geq 0$ ) [3, 4]. Clearly,  $\mathcal{G}^\infty(\Omega) \subseteq \mathcal{G}_{\mathcal{L}_0}(\Omega)$ . By definition,  $u = [(u_\varepsilon)_\varepsilon] \in \mathcal{G}(\Omega)$  is sublinear [1, 15] iff for each  $K \subset\subset \Omega$  and each  $(x_\varepsilon)_\varepsilon \in K^{(0,1)}$ , there exists  $k \in \mathbb{R}$  and  $(p_n)_{n \in \mathbb{N}} \in \mathbb{R}^\mathbb{N}$  such that  $\lim_{n \rightarrow \infty} p_n + kn = \infty$  and for each  $\alpha \in \mathbb{N}^d$ ,  $|\partial^\alpha u_\varepsilon(x_\varepsilon)| \leq \varepsilon^{p|\alpha|}$ , for small  $\varepsilon$ . It can be shown [1, Thm. 5.7], [15, Thm. 10] that the algebra of sublinear generalized functions exactly contains those  $u \in \mathcal{G}(\Omega)$  satisfying a natural condition of analyticity (called  $S$ -real analyticity in [15]). Sublinearity can still be characterized as follows by means of the algebras  $\mathcal{G}_{\mathcal{L}_a}(K)$ :

**Lemma 2.1.** *Let  $u \in \mathcal{G}(\Omega)$ . Then  $u$  is sublinear iff for each  $K \subset\subset \Omega$ , there exists  $a > 0$  ( $a \in \mathbb{R}$ ) such that  $u \in \mathcal{G}_{\mathcal{L}_a}(K)$ .*

*Proof.*  $\Rightarrow$ : let  $u$  be sublinear and suppose that there exists  $K \subset\subset \Omega$  such that  $u \notin \mathcal{G}_{\mathcal{L}_a}(K)$ , for each  $a > 0$ . Then we find  $\alpha_n \in \mathbb{N}$  (for each  $n \in \mathbb{N}$ ),  $\varepsilon_{n,m} \in (0, 1/m)$  (for each  $n, m \in \mathbb{N}$ ) (by enumerating the countable family  $(\varepsilon_{n,m})_{n,m}$ , we can successively choose the  $\varepsilon_{n,m}$  such that they are all different) and  $x_{\varepsilon_{n,m}} \in K$  such that  $|\partial^{\alpha_n} u_{\varepsilon_{n,m}}(x_{\varepsilon_{n,m}})| > \varepsilon_{n,m}^{-n|\alpha_n|-n}$ , for each  $n, m \in \mathbb{N}$ . Let  $x_\varepsilon \in K$  arbitrary if  $\varepsilon \in (0, 1) \setminus \{\varepsilon_{n,m} : n, m \in \mathbb{N}\}$ . By assumption, there exist  $k \in \mathbb{R}$ ,  $(p_n)_{n \in \mathbb{N}} \in \mathbb{R}^\mathbb{N}$  and  $N \in \mathbb{N}$  such that for each  $\alpha \in \mathbb{N}^d$  with  $|\alpha| \geq N$ ,  $|\partial^\alpha u_\varepsilon(x_\varepsilon)| \leq \varepsilon^{p|\alpha|} \leq \varepsilon^{-k|\alpha|}$ , for small  $\varepsilon$ . Since  $u \in \mathcal{G}(\Omega)$ , it follows that there exists  $b \in \mathbb{R}$  such that for each  $\alpha \in \mathbb{N}^d$ ,  $|\partial^\alpha u_\varepsilon(x_\varepsilon)| \leq \varepsilon^{-k|\alpha|-b}$ , for small  $\varepsilon$ . This contradicts the fact that for  $n \in \mathbb{N}$  with  $n \geq k$  and  $n \geq b$ ,  $\lim_m \varepsilon_{n,m} = 0$  and  $|\partial^{\alpha_n} u_{\varepsilon_{n,m}}(x_{\varepsilon_{n,m}})| > \varepsilon_{n,m}^{-n|\alpha_n|-n}$ ,  $\forall m \in \mathbb{N}$ .

$\Leftarrow$ : let  $K \subset\subset \Omega$  and  $(x_\varepsilon)_\varepsilon \in K^{(0,1)}$ . By assumption, there exist  $a, b \in \mathbb{R}$  such that for each  $\alpha \in \mathbb{N}^d$ ,  $p_{\alpha,K}(u_\varepsilon) \leq \varepsilon^{-a|\alpha|-b}$ , for small  $\varepsilon$ . Then, for  $k := a + 1$  and  $p_n := -an - b$ ,  $\lim_n p_n + kn = \infty$  and for each  $\alpha \in \mathbb{N}^d$ ,  $|\partial^\alpha u_\varepsilon(x_\varepsilon)| \leq p_{\alpha,K}(u_\varepsilon) \leq \varepsilon^{p|\alpha|}$ , for small  $\varepsilon$ .  $\square$

### 3 $\mathcal{G}^\infty(\Omega)$ and $\mathcal{G}_{\mathcal{L}_0}(\Omega)$

Our method is based upon a quantitative version of an argument used in [8, Thm. 1.2.3] (cf. also [10, Prop. 1.6] and [17]), which can in fact be traced back to [11].

**Proposition 3.1.** *Let  $K \subset\subset \Omega \subseteq \mathbb{R}^d$ . Suppose that there exists  $r \in \mathbb{R}^+$  such that for each  $x \in K$ , there exist  $d$  line segments of length  $r$  containing  $x$  in linearly independent directions that are contained in  $K$ . Let  $u \in \mathcal{G}(\Omega)$ . If for some  $k \in \mathbb{N} \setminus \{0\}$ ,  $P_{k,K}(u) > P_{k-1,K}(u)$ , then  $P_{k,K}^2(u) \leq P_{k-1,K}(u)P_{k+1,K}(u)$ .*

*Proof.* Let first  $k = 1$ . Let  $x \in K$ . Let  $e_1, \dots, e_d \in \mathbb{R}^d$  be linearly independent unit vectors such that the line segments  $[x, x + \frac{r}{2}e_j] \subseteq K$ . Denote the directional derivative in the direction  $e_j$  by  $\partial_{e_j}$ . Let  $a \in \mathbb{R}$ ,  $a > 0$ . For  $\varepsilon \in (0, 1)$ , by Taylor's formula there exist  $\theta_\varepsilon \in [0, 1]$  such that

$$\partial_{e_j} u_\varepsilon(x) = \varepsilon^{-a} u_\varepsilon(x + \varepsilon^a e_j) - \varepsilon^{-a} u_\varepsilon(x) + \frac{\varepsilon^a}{2} \partial_{e_j}^2 u_\varepsilon(x + \varepsilon^a \theta_\varepsilon e_j).$$

Hence for  $\varepsilon \leq \varepsilon_0$  (where  $\varepsilon_0$  does not depend on  $x \in K$ ),

$$|\partial_{e_j} u_\varepsilon(x)| \leq 2\varepsilon^{-a} \sup_{y \in K} |u_\varepsilon(y)| + \varepsilon^a \sup_{y \in K} |\partial_{e_j}^2 u_\varepsilon(y)| \leq 2\varepsilon^{-a} p_{0,K}(u_\varepsilon) + \varepsilon^a p_{2,K}(u_\varepsilon).$$

Since  $e_1, \dots, e_d$  are linearly independent, we can write  $\partial_1, \dots, \partial_d$  as a linear combination (with coefficients independent of  $\varepsilon$  and  $x$ ) of  $\partial_{e_1}, \dots, \partial_{e_d}$ . Thus there exists  $C \in \mathbb{R}$  such that  $p_{1,K}(u_\varepsilon) \leq C\varepsilon^{-a} p_{0,K}(u_\varepsilon) + C\varepsilon^a p_{2,K}(u_\varepsilon)$ , and  $P_{1,K}(u) \leq \max(e^a P_{0,K}(u), e^{-a} P_{2,K}(u))$ . Should  $P_{2,K}(u) \leq P_{0,K}(u)$ , then letting  $a \rightarrow 0$  would yield  $P_{1,K}(u) \leq P_{0,K}(u)$ , contradicting the hypotheses. Hence  $P_{2,K}(u) > P_{0,K}(u)$ , and we can choose  $a > 0$  such that  $e^{2a} = P_{2,K}(u)/P_{0,K}(u)$  (since the case  $P_{0,K}(u) = 0$  is trivial).

If  $k \in \mathbb{N} \setminus \{0\}$  arbitrary, the same reasoning can be applied to all  $\partial^\alpha u$  with  $|\alpha| = k - 1$  instead of  $u$ .  $\square$

**Corollary 3.2.** (cf. [8, Thm. 1.2.3]) *Let  $K \subset\subset \Omega \subseteq \mathbb{R}^d$ . Suppose that there exists  $r \in \mathbb{R}^+$  such that for each  $x \in K$ , there exist  $d$  line segments of length  $r$  containing  $x$  in linearly independent directions that are contained in  $K$ . Let  $u \in \mathcal{G}(\Omega)$ . If for some  $k \in \mathbb{N}$ ,  $P_{k,K}(u) = 0$ , then  $P_{l,K}(u) = 0$ ,  $\forall l \geq k$ .*

*Proof.* If  $P_{k+1,K}(u) \neq 0$ , then  $P_{k+1,K}(u)^2 \leq P_{k,K}(u)P_{k+2,K}(u) = 0$  by proposition 3.1, a contradiction. The result follows inductively.  $\square$

**Proposition 3.3.** *Let  $K \subset\subset \Omega$  satisfy the hypothesis of proposition 3.1. Let  $u \in \mathcal{G}_{\mathcal{L}_0}(K)$ . Then  $P_{k,K}(u)$  are decreasing in  $k$ , and*

$$\mathcal{G}^\infty(K) = \mathcal{G}_{\mathcal{L}_0}(K) = \{u \in \mathcal{G}(\Omega) : P_{k,K}(u) \leq P_{0,K}(u), \forall k \in \mathbb{N}\}.$$

*In particular,  $\mathcal{G}^\infty(K)$  is closed in  $\mathcal{G}(\Omega)$ .*

*Proof.* Let  $u \in \mathcal{G}(\Omega)$ . If  $P_{k,K}(u)$  are not decreasing in  $k$ , then there exists  $k \in \mathbb{N} \setminus \{0\}$  such that  $P_{k,K}(u) > P_{k-1,K}(u) > 0$  by corollary 3.2. Let  $r := P_{k,K}(u)/P_{k-1,K}(u) > 1$ . By proposition 3.1,  $P_{k+1,K}(u) \geq rP_{k,K}(u)$  (in particular,  $P_{k+1,K}(u) > P_{k,K}(u)$ ). Inductively,  $P_{k+n,K}(u) \geq r^n P_{k,K}(u)$ , for each  $n \in \mathbb{N}$ . Thus  $\limsup_{n \rightarrow \infty} \frac{\ln P_{k+n,K}(u)}{n+k} \geq \limsup_{n \rightarrow \infty} \frac{\ln(r^n P_{k,K}(u))}{n+k} = \ln r > 0$ , and  $u \notin \mathcal{G}_{\mathcal{L}_0}(K)$ . In particular,  $u \notin \mathcal{G}^\infty(K)$ . The fact that  $\mathcal{G}^\infty(K)$  is closed follows by continuity of  $P_{k,K}$ .  $\square$

**Theorem 3.4.**  $\mathcal{G}^\infty(\Omega) = \mathcal{G}_{\mathcal{L}_0}(\Omega)$  is closed in  $\mathcal{G}(\Omega)$ . In particular,  $\mathcal{G}^\infty(\Omega)$  is not dense in  $\mathcal{G}(\Omega)$ .

*Proof.*  $\mathcal{G}^\infty(\Omega) = \bigcap_K \mathcal{G}^\infty(K)$ , where  $K$  runs over all compact subsets of  $\Omega$  that are a finite union of  $d$ -dimensional cubes parallel with the coordinate axes (hence satisfying the hypothesis of proposition 3.1), and similarly for  $\mathcal{G}_{\mathcal{L}_0}(\Omega)$ . The conclusions follow from proposition 3.3.  $\square$

## 4 $\mathcal{G}_{\mathcal{L}_a}(\Omega)$ , $a > 0$

**Proposition 4.1.** Let  $K \subset\subset \Omega$  satisfy the hypothesis of proposition 3.1. Let  $a \in \mathbb{R}$ ,  $a \geq 1$ . Then

$$\begin{aligned} \{u \in \mathcal{G}(\Omega) : (\exists c \in \mathbb{R})(P_{k,K}(u) \leq ca^k, \forall k \in \mathbb{N})\} \\ = \{u \in \mathcal{G}(\Omega) : P_{k+1,K}(u) \leq aP_{k,K}(u), \forall k \in \mathbb{N}\}. \end{aligned}$$

In particular, this describes a closed subset of  $\mathcal{G}(\Omega)$ .

*Proof.* Let  $u \in \mathcal{G}(\Omega)$ . If  $P_{k+1,K}(u) > aP_{k,K}(u)$ , for some  $k \in \mathbb{N}$ , then  $P_{k,K}(u) > 0$  by corollary 3.2. Let  $r := P_{k+1,K}(u)/P_{k,K}(u) > a$ . By proposition 3.1,  $P_{k+n,K}(u) \geq r^n P_{k,K}(u)$ , for each  $n \in \mathbb{N}$ . Thus  $\limsup_{n \in \mathbb{N}} P_{n,K}(u)/a^n \geq \limsup_{n \in \mathbb{N}} \frac{r^{n-k} P_{k,K}(u)}{a^n} = +\infty$ .

The other inclusion is clear.  $\square$

**Theorem 4.2.** Let  $a \in \mathbb{R}$ ,  $a > 0$ . Then  $\mathcal{G}_{\mathcal{L}_a}(\Omega)$  is not dense in  $\mathcal{G}(\Omega)$ .

*Proof.*  $\mathcal{G}_{\mathcal{L}_a}(\Omega) \subseteq \bigcap_K \{u \in \mathcal{G}(\Omega) : (\exists c \in \mathbb{R})(P_{k,K}(u) \leq ce^{ak}, \forall k \in \mathbb{N})\} =: \mathcal{A}$ , where  $K$  runs over all compact subsets of  $\Omega$  that are a finite union of  $d$ -dimensional cubes parallel with the coordinate axes. The set  $\mathcal{A}$  is closed by proposition 4.1 and is a strict subset of  $\mathcal{G}(\Omega)$ .  $\square$

## 5 Sublinear generalized functions

In order to investigate the density of the algebra of sublinear generalized functions, we start with the following proposition (see also [16, Prop. 4.3.1]):

**Proposition 5.1.** Let  $\psi \in \mathcal{C}^\infty(\mathbb{R}^d)$  with  $\psi(x) = 0$  if  $|x| \geq 1$  and  $\int_{\mathbb{R}^d} \psi = 1$ . Denote by  $\psi_\varepsilon(x) := \varepsilon^{-d} \psi(x/\varepsilon)$ , for each  $\varepsilon \in (0, 1)$ . If  $u = [(u_\varepsilon)_\varepsilon] \in \mathcal{G}(\Omega)$ , then  $\lim_{n \rightarrow \infty} [(u_\varepsilon \star \psi_{\varepsilon^n})_\varepsilon] = u$ .



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